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**INTERNATIONAL CIVIL AVIATION ORGANIZATION  
ASIA AND PACIFIC OFFICE**

**ASIA/PACIFIC PERFORMANCE-BASED NAVIGATION  
IMPLEMENTATION PLAN**

**Interim Edition**

**September 2008**

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## ASIA/PACIFIC PERFORMANCE-BASED NAVIGATION IMPLEMENTATION PLAN

### 1. Executive Summary

1.1 This Asia/Pacific PBN Implementation Plan has been produced in line with Resolution A 36/23 adopted by ICAO Assembly in its 36<sup>th</sup> Session held in September 2007 and Conclusion 18/53 adopted by APANPIRG/18. The Regional Plan addresses the strategic objectives of PBN implementation based on clearly established operational requirements, avoiding equipage of multiple on-board or ground based equipment, avoidance of multiple airworthiness and operational approvals and explains in detail contents relating to potential navigation applications. The Plan envisages pre- and post-implementation safety assessments and continued availability of conventional air navigation procedures during transition. The Plan discusses issues related to implementation which include traffic forecasts, aircraft fleet readiness, adequacy of ground-based CNS infrastructure etc. Implementation targets for various categories of airspace for the short term (2008 – 2012) and for the medium term (2013 – 2016) have been projected in tabular forms to facilitate easy reference. For the long term (2016 and beyond) it has been envisaged that GNSS will be the primary navigation infrastructure. It is also envisaged that precision approach capability using GNSS and its augmentation system will become available in the long term.

### 2. Explanation of Terms

2.1 The drafting and explanation of this document is based on the understanding of some particular terms and expressions that are described below:

2.1.1 **Asia/Pacific PBN Implementation Plan.** A document offering appropriate guidance for air navigation service providers, airspace operators and users, regulating agencies, and international organizations, on the evolution of navigation, as one of the key systems supporting air traffic management, and which describes the RNAV and RNP navigation applications that should be implemented in the short, medium and long term in the ASIA/PAC Region.

2.1.2 **Performance Based Navigation** Performance based navigation specifies RNAV and RNP system performance requirements for aircraft operating along an ATS route, on an instrument approach procedure or in an airspace.

2.1.3 **Performance requirements.** Performance requirements are defined in terms of accuracy, integrity, continuity, availability and functionality needed for the proposed operation in the context of a particular airspace concept. Performance requirements are identified in navigation specifications which also identify which navigation sensors and equipment may be used to meet the performance requirement.

### 3. Acronyms

3.1 The acronyms used in this document along with their expansions are given in the following list

ABAS	Aircraft-Based Augmentation System
AIS	Aeronautical Information System
APAC	Asia and Pacific Regions
APANPIRG	Asia/Pacific Air Navigation Planning and Implementation Regional Group
APCH	Approach
APV	Approach Procedures with Vertical Guidance
ATC	Air Traffic Control
Baro VNAV	Barometric Vertical Navigation
CNS/ATM	Communication Navigation Surveillance/Air Traffic Management

CPDLC	Controller Pilot Data Link Communications
DME	Distance Measuring Equipment
FASID	Facilities and Services Implementation Document
FIR	Flight Information Region
FMS	Flight Management System
GBAS	Ground-Based Augmentation System
GNSS	Global Navigation Satellite System
GRAS	Ground-based Regional Augmentation System
IATA	International Air Transport Association
IFALPA	International Federation of Air Line Pilots' Associations
INS	Inertial Navigation System
IRU	Inertial Reference Unit
PANS	Procedures for Air Navigation Services
PBN	Performance Based Navigation
PIRG	Planning and Implementation Regional Group
RASMAG	Regional Airspace Safety Monitoring Advisory Group
RCP	Required Communication Performance
RNAV	Area Navigation
RNP	Required Navigation Performance
SARP	Standards and Recommended Practices
SBAS	Satellite-Based Augmentation System
SID	Standard Instrument Departure
STAR	Standard Instrument Arrival
TMA	Terminal Control Area
VOR	VHF Omni-directional Radio-range
WGS	World Geodetic System

#### **4. Introduction**

##### **Need for the regional PBN Implementation Plan**

4.1 The Thirty-sixth Session of the ICAO Assembly held in Montreal in September 2007 adopted a Resolution to resolve that States and planning and implementation regional groups (PIRGs) complete a PBN implementation plan by 2009.

4.2 Recognizing that the PBN concept is now established, States should ensure that all RNAV and RNP operations and procedures are in accordance with the PBN concept as detailed in State letter AN 11/45-07/22 and the ICAO Doc 9613: PBN Manual for ensuring a globally harmonized and coordinated transition of PBN.

4.3 In view of the need for detailed navigation planning, it is advisable to develop a PBN Regional Plan to provide proper guidance to air navigation service providers, airspace operators and users, regulating agencies, and international organizations, on the evolution of navigation, as one of the key systems supporting air traffic management, which describes the RNAV and RNP navigation applications that should be implemented in the short and medium term in the ASIA/PAC Region.

4.4 Furthermore, the Asia/Pacific PBN Implementation Plan will be the basic material serving as guidance for regional projects for the implementation of air navigation infrastructure, such as ABAS, SBAS, GBAS, GRAS, etc., as well as for the development of national implementation plans.

##### **Roles of Navigation in supporting ATM operations**

4.5 An Airspace Concept may be viewed as a general vision or master plan for a particular airspace. Based on particular principles, an Airspace Concept is geared towards specific objectives. Strategic

objectives drive the general vision of the Airspace Concept. These objectives are usually identified by airspace users, air traffic management (ATM), airports as well as environmental and government policy. It is the function of the Airspace Concept and the concept of operations to respond to these requirements. The strategic objectives which most commonly drive Airspace Concepts are Safety, Capacity, Efficiency, Access, and the Environment.

4.6 Navigation is one of several enablers of an Airspace Concept. Communications, ATS Surveillance and ATM are also essential elements of an Airspace Concept.

4.7 The Performance Based Navigation (PBN) concept specifies RNAV and RNP system performance requirements in terms of accuracy, integrity, availability, continuity and functionality needed for the proposed operations in the context of a particular Airspace Concept, when supported by the appropriate navigation infrastructure. In that context, the PBN concept represents a shift from sensor-based to performance-based navigation. Performance requirements are identified in navigation specifications which also identify the choice of navigation sensors and equipment that may be used to meet the performance requirements. These navigation specifications are defined at a sufficient level of detail to facilitate global harmonization by providing specific implementation guidance for States and operators.

4.8 Under the PBN concept, the generic navigation requirements are defined based on operational requirements. Thus, users may evaluate the available options. To ensure synchronization of investment and interoperability of the airborne and ground systems, the selection of the solution should be in consultation with aviation stakeholders, including international and domestic airline operators, air navigation service providers, and regulators. The solution selected should also be the most cost-effective one.

4.9 The development of the Performance Based Navigation concept recognized that advanced aircraft RNAV systems are achieving a predictable level of navigation performance accuracy which, together with an appropriate level of functionality, allows a more efficient use of available airspace to be realized. It also takes account of the fact that RNAV systems have developed over a 40-year period and as a result there were a large variety of implementations. Identifying navigation requirements rather than on the means of meeting the requirements will allow use of all RNAV systems meeting these requirements irrespective of the means by which these are met.

### **Benefits of Performance-Based Navigation**

4.10 The main benefits derived from the implementation of PBN are:

- a) Increased airspace safety through the implementation of continuous and stabilized descent procedures using vertical guidance;
- b) Reduced aircraft flight time due to the implementation of optimal flight paths, with the resulting savings in fuel, noise reduction, and environmental protection;
- c) Use of the RNAV and/or RNP capabilities that already exist in a significant percentage of the aircraft fleet flying in APAC airspace;
- d) Improved airport and airspace arrival paths in all weather conditions, and the possibility of meeting critical obstacle clearance and environmental requirements through the application of optimized RNAV or RNP paths;
- e) Implementation of more precise approach, departure, and arrival paths that will reduce dispersion and will foster smoother traffic flows;
- f) Reduced delays in high-density airspaces and airports through the implementation of additional parallel routes and additional arrival and departure points in terminal areas;
- g) Reduction of lateral and longitudinal separation between aircraft to accommodate more traffic;
- h) Decrease ATC and pilot workload by utilizing RNAV/RNP procedures and airborne capability and reduce the needs for ATC-Pilot communications and radar vectoring;

- i) Increase of predictability of the flight path.

### **Goals & Objectives of PBN Implementation**

4.11 APANPIRG, in its Eighteenth meeting, discussed various issues related to an early implementation of PBN in the region. To facilitate coordination between States, a PBN Task Force was formed to develop a harmonized regional PBN Implementation plan.

4.12 The Asia/Pacific PBN Implementation Plan has the following strategic objectives:

- a) To ensure that the implementation of the navigation item of the CNS/ATM system is based on clearly established operational requirements.
- b) To avoid undue equipage of multiple on board equipment and/or ground-based systems.
- c) To avoid the need for multiple airworthiness and operational approvals for intra- and inter-regional operations.
- d) To explain in detail the contents of the Regional Air Navigation Plan, relating to potential navigation applications.

4.13 Furthermore, the Asia/Pacific PBN Implementation Plan will provide a high-level strategy for the evolution of the navigation applications to be implemented in the ASIA/PAC Region in the short term (2008-2012) and medium term (2013-2016). This strategy is based on the concepts of Area Navigation (RNAV) and Required Navigation Performance (RNP) in accordance with ICAO Doc. 9613: Performance Based Navigation Manual, and will be applied to aircraft operations involving instrument approaches, standard departure (SID) routes, standard arrival (STAR) routes, and ATS routes in oceanic and continental areas.

4.14 The Asia/Pacific PBN Implementation Plan was developed by the APAC States together with the international organizations concerned (IATA, IFALPA), and is intended to assist the main stakeholders of the aviation community plan a gradual transition to the RNAV and RNP concepts. The main stakeholders of the aviation community that benefit from this Regional Plan are:

- Airspace operators and users.
- Air navigation service providers.
- Regulating agencies.
- International organizations.

4.15 This Regional Plan is intended to assist the main stakeholders of the aviation community plan the future transition and their investment strategies. For example, airlines and operators can use this Regional Plan to plan future equipage and additional navigation capability investments; air navigation service providers can plan a gradual transition for the evolving ground infrastructure. Regulating agencies will be able to anticipate and plan for the criteria that will be needed in the future.

4.16 Recognizing the safety benefits of PBN, the thirty-sixth session of the ICAO Assembly held in Montreal, September 2007 adopted a Resolution to resolve that States and planning and implementation regional groups (PIRGs) complete a PBN implementation plan by 2009 to achieve:

- a) Implementation of RNAV and RNP operations (where required) for en route and terminal areas according to established timelines and intermediate milestones; and
- b) Implementation of approach procedures with vertical guidance (APV) (Baro-VNAV and/or augmented GNSS) for all instrument runway ends, either as the primary approach



or as a back-up for precision approaches by 2016 with intermediate milestones as follows: 30 per cent by 2010, 70 per cent by 2014.

### **Planning Principles**

4.17 Planning for the implementation of PBN in the ASIA/PAC Region shall be based on the following principles:

- a) Pre- and post-implementation safety assessments will be conducted to ensure the application and maintenance of the established target levels of safety.
- b) Continued application of conventional air navigation procedures during the transition period, to guarantee the operations by users that are not RNAV- and/or RNP-equipped.
- c) The first regional PBN implementation plan should address the short term (2008-2012) and medium term (2013-2016) and take into account long term global planning issues.
- d) Target date for completion of the first regional PBN implementation plan is APANPIRG/19.

## **5. PBN Operational Requirements & Implementation Strategy**

5.1 Introduction of PBN should be consistent with the Global Air Navigation Plan. Moreover, PBN implementation shall be in full compliance with ICAO SARPs and PANS and support ICAO Global Plan Initiatives.

5.2 The ICAO Council accepted the second amendment to the Global Air Navigation Plan for the CNS/ATM System in November 2006. The approved plan has been renamed as Global Air Navigation Plan (Doc 9750). The relevant Global Plan Initiatives including implementation of performance based navigation (PBN) and navigation system have been included in the Global Plan. The introduction of PBN must be supported by an appropriate navigation infrastructure consisting of an appropriate combination of Global Navigation Satellite System (GNSS), self-contained navigation system (inertial navigation system) and conventional ground-based navigation aids.

5.3 The Strategy for the Provision of Precision Approach, Landing & Departure Guidance Systems and the Strategy for Implementation of GNSS Navigation Capability were reviewed and updated by the Eleventh meeting of CNS/MET Sub Group of APANPIRG in July 2007. The updated strategies were reviewed and adopted by APANPIRG as Strategies for the Provision of Navigation Services in its Eighteenth meeting held in September, 2007 under its Conclusion 18/30.

### **Route Operations**

5.4 As the routes structure and en-route operation are complicated in Asia-Pacific region, it is difficult to restructure and include the whole airspace in a single implementation plan for en-route operations.

5.5 Considering the traffic characteristics and CNS/ATM capability, en-route operations can be classified as Oceanic, Remote continental, and Continental en-route.

5.6 In principle for each classification of en-route operation (5.5 above) should adopt, but not be limited to single RNAV or RNP navigation specification. This implementation strategy will be applied by the state/territories/international organizations themselves, and the RNAV and RNP navigation applications should be coordinated between these states to ensure harmonization.

5.7 In areas where operational benefits can be achieved and appropriate CNS/ATM capability exists or can be provided for a more accurate navigation specification, States are encouraged to introduce the more accurate navigation specification on the basis of coordination with stakeholders and affected neighboring States/Territories.

### **TMA Operations**

5.8 TMA operations have their own characteristics, taking into account the applicable separation minima between aircraft and between aircraft and obstacles. It also involves the diversity of aircraft, including low-performance aircraft flying in the lower airspace and conducting arrival and departure procedures on the same path or close to the paths of high-performance aircraft.

5.9 In this sense, the States/Territories shall develop their own national plans for the implementation of PBN in TMAs, based on the Asia/Pacific PBN Implementation Plan, seeking the harmonization of the application of PBN and avoiding the need for multiple operational approvals for intra- and inter-regional operations, and the applicable aircraft separation criteria.

### **Instrument Approaches**

5.10 States are encouraged to introduce PBN approaches that provide Vertical Guidance to enhance safety. Conventional approach procedures and conventional navigation aids should be maintained to support non-equipped aircraft during the transitional period.

5.11 During early implementation of PBN, IFR Approaches based on PBN should be designed to accommodate mixed-equipage (PBN and non-PBN) environment. ATC workload should be taken into account while developing approach procedures. One possible way to accomplish this is to co-locate the Initial Approach Waypoint for both PBN and conventional approaches

## **6. Current Status & Forecast**

### **APAC traffic forecast**

6.1 The GEN part of FASID (Doc9673 Vol. II) provides the information and data of the following traffic forecasts:

- **Forecasts of air traffic demand for air navigation systems planning**
- **Passenger forecasts**
- **Aircraft movement forecast**
- **Major city-pairs forecasts**

6.2 The forecast data as well as the figures contained in the FASID document are the results of the regular meetings of Asia/Pacific Area Traffic Forecasting Group (APA TFG). These forecasts are updated periodically in conjunction with APA TFG meetings and are not subject to the amendment procedure related to the FASID. The APA TFG is tasked to update the long-range forecasts of passenger traffic produced for the Asia and Pacific (ASIA/PAC) regions, encompassing the intra-Asia/Pacific and trans-Pacific markets, along with preliminary city-pair forecasts to include the 40 busiest routes in terms of passengers carried within these regions. In addition, aircraft movements are forecast for a five-year horizon initially for the aggregate trans-Pacific market and city-pairs of three selected flight information regions (FIRs) of the intra-Asia/Pacific traffic. States are encouraged to consider the up to date forecast data contained in the FASID document while developing or updating the regional and national implementation plan.

6.3 The forecast conducted by IATA on 27 February 2008 for Asia and Pacific traffic in respect of passenger, cargo, aircraft movements and new aircraft deliveries in all the Regions is also provided in the

Appendix B to this plan as reference.

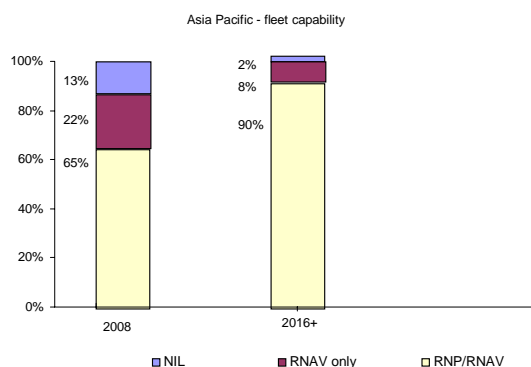
### Aircraft fleet readiness status

6.4 2007 was a record year for Asia/Pacific airlines with 418 new aircraft deliveries and more than 1,000 new orders. The overall number of deliveries to Asia/Pacific based airlines in 2008 is expected to total 430 aircraft.

6.5 All major commercial aircraft manufacturers since the 1980's have included RNAV capabilities. The commercial aircraft currently produced incorporate an RNP capability.

6.6 One significant issue for PBN implementation today is directly related to the multitude of FMS installations and varying degrees of capabilities associated with the current fleet of RNAV aircraft. Specifically, there are numerous FMS systems installed in today's fleets, all with varying capabilities.

6.7 The diagram below displays a high level analysis based on fleet numbers from Ascend Online Fleets database March 2008 and RNAV/RNP classification by IATA.



### CNS Infrastructure

#### Navigation infrastructure

#### Global Navigation Satellite System (GNSS)

6.8 Global Navigation Satellite System (GNSS) is a satellite-based navigation system utilizing satellite signals, such as Global Positioning System (GPS), for providing accurate and reliable position, navigation, and time services to airspace users. In 1996, the International Civil Aviation Organization (ICAO) endorsed the development and use of GNSS as a primary source of future navigation for civil aviation. ICAO noted the increased flight safety, route flexibility and operational efficiencies that could be realized from the move to space-based navigation.

6.9 GNSS supports both RNAV and RNP operations. Through the use of appropriate GNSS augmentations, GNSS navigation provides sufficient accuracy, integrity, availability and continuity to support en-route, terminal area, and approach operations. Approval of RNP operations with appropriate certified avionics provides on-board performance monitoring and alerting capability enhancing the integrity of aircraft navigation.

6.10 GNSS augmentations include Aircraft-Based Augmentation System (ABAS), Satellite-Based Augmentation System (SBAS), Ground-Based Augmentation System (GBAS), and Ground-based Regional Augmentation System (GRAS).

#### Other PBN navigation infrastructure

6.11 Other navigation infrastructure includes INS, VOR/DME, DME/DME, and DME/DME/IRU. These navigation infrastructures may satisfy the requirements of RNAV navigation specifications, but not those of RNP.

6.12 INS may be used to support PBN en-route operations with RNAV-10 and RNAV-5 navigation specifications.

6.13 VOR/DME may be used to support PBN en-route and STAR operations based on the RNAV-5 navigation specification.

6.14 Uses of DME/DME and DME/DME/IRU may support PBN en-route and terminal area operations based on RNAV-5, RNAV-2 or RNAV-1 navigation specifications. Validation of DME/DME coverage area and appropriate DME/DME geometry should be conducted to identify possible DME/DME gaps, including identification of critical DMEs, and to ensure proper DME/DME service coverage.

#### **Surveillance infrastructure**

6.15 For RNAV operations, States should ensure that sufficient surveillance coverage is provided to assure the safety of the operations. For RNP operations, surveillance coverage may not be required. Details on the surveillance requirements for PBN implementation can be found in the ICAO PBN Manual and ICAO PANS-ATM (Doc 4444), and information on the current existing surveillance infrastructure in the Asia-Pacific can be found in ICAO FASID table.

#### **Communication infrastructure**

6.16 Implementation of RNAV/RNP routes includes communication requirements. Details on the communication requirements for PBN implementation can be found in ICAO PANS-ATM (Doc 4444), ICAO RCP Manual (Doc 9869), and ICAO Annex 10. Information on the current existing communication infrastructure in the Asia-Pacific can also be found in ICAO FASID table.

### **7. Implementation Road Map of Performance Based Navigation**

#### **ATM Operational Requirements**

7.1 The Global ATM Operational Concept (Doc 9854) makes it necessary to adopt an airspace concept able to provide an operational scenario that includes Route networks, Minimum separation standards, assessment of obstacle clearance, and a CNS infrastructure that satisfies specific strategic objectives, including safety, access, capacity, efficiency, and environment.

7.2 In this regard, the following programmes will be developed:

- a) traffic and cost benefit analyses
- b) necessary updates on automation
- c) operational simulations in different scenarios
- d) ATC personnel training
- e) Flight plan processing
- f) Flight procedure design training to include PBN concepts and ARINC-424 coding standard
- g) Enhanced electronic data and processes to ensure appropriate level of AIS data accuracy, integrity and timeliness
- h) WGS-84 implementation in accordance with ICAO Annex 15

- i) uniform classification of adjacent and regional airspaces, where practicable
- j) RNAV/RNP applications for SIDs and STARs
- k) Coordinated RNAV/RNP routes implementation
- l) RNP approach with vertical guidance

### **Short Term Implementation Road Map**

#### **Route Operations**

7.3 During the planning phase of any implementation of PBN routes, States should gather inputs from all aviation stakeholders to obtain operational needs and requirements. These needs and requirements should then be used to derive airspace concepts and to select appropriate PBN navigation specification.

7.4 In this phase, the application of RNAV-10 and RNP-4 navigation specifications is expected for Oceanic and Remote continental routes. Prior to implementation of RNP-4, States should consider air traffic demands, ATC workload, and fleet readiness statistics and consult all stakeholders.

7.5 For Continental routes, the application of RNAV-5 and RNAV-2 navigation specifications is expected. In the continental en-route areas of operation, States may choose to implement RNAV-2 routes to enhance efficiency of airspace usage and support closer route spacing, noting that appropriate communication and surveillance coverage must be provided. The RNAV-2 navigation specification can also be used in certain airspace, where sufficient CNS capability is provided and there are operational benefits.

#### **TMA Operations**

7.6 In selected TMAs, the application of RNAV-1 in a radar environment can be supported through the use of GNSS or ground navigation infrastructure, such as DME/DME and DME/DME/IRU. In this phase, mixed operations (equipped and non-equipped) will be permitted.

7.7 In a non-radar environment and/or in an environment without adequate ground navigation infrastructure, the SID/STAR application of Basic-RNP1 is expected in selected TMAs with exclusive application of GNSS. In this phase, mixed operations (equipped and non-equipped) will be permitted.

#### **Instrument Approaches**

7.8 The application of RNP APCH with Baro-VNAV procedures is expected to be implemented in the maximum possible number of airports, primarily international airports. To facilitate transitional period, conventional approach procedures and conventional navigation aids should be maintained for non-equipped aircraft.

7.9 States should promote the use of APV operations (Baro-VNAV or augmented GNSS) to enhance safety and accessibility of RNP approaches.

7.10 The application of RNP AR APCH procedures should be considered in selected airports, where obvious operational benefits can be obtained due to the existence of significant obstacles.

**Summary table & Implementation targets**

<b>Short Term (2008-2012)</b>		
<b>Airspace</b>	<b>Preferred Nav. Specifications</b>	<b>Acceptable Nav. Specifications</b>
Route – Oceanic	RNP-4	RNAV-10
Route – Remote continental	RNP-4	RNAV-10
Route – Continental en-route	RNAV-2, RNAV-5	
TMA – Arrival	RNAV-1 in radar environment and with adequate navigation infrastructure.  Basic-RNP 1 in non-radar environment	
TMA – Departure	RNAV-1 in radar environment and with adequate navigation infrastructure.  Basic-RNP 1 in non-radar environment	
Approach	RNP APCH with Baro-VNAV in most possible airports  RNP AR APCH in airport where there are obvious operational benefits.	
<b>Implementation Targets</b>		
<ul style="list-style-type: none"> <li>• RNP APCH (with Baro-VNAV) in 30% of instrument runways by 2010 and 50% by 2012 and priority should be given to airports with operational benefits</li> <li>• RNAV-1 SID/STAR for 50% of international airports by 2010 and 75% by 2012 and priority should be given to airports with RNP Approach</li> <li>• Re-defining existing RNAV/RNP routes into PBN navigation specification by 2012</li> <li>• Implementation of additional RNAV/RNP routes</li> </ul>		

**Medium Term Implementation Road Map**

**Route Operations**

7.11 Noting the current development of route spacing standards for RNAV-1, RNAV-2, RNP-2, in this phase, it is expected that the implementations of all existing RNAV/RNP routes are consistent with PBN standards. States are encouraged, as much as possible, to harmonize their RNAV/RNP routes based on consistent PBN navigation specifications and separation standards. Implementations of additional RNAV/RNP routes are also encouraged.

7.12 With the utilization of ADS and CPDLC, the application of RNP routes in the Oceanic and Remote continental airspace in the ASIA/PAC Region is expected. This will permit the use of smaller lateral and longitudinal separation, such as 30 NM. States should also consider the fleet readiness status during their planning.

7.13 Noting the current development of RNP-2 navigation specification, in this phase, the application of RNP-2 is expected for the continental en-route airspace with high air traffic density. Depending on the sufficiency of DME/DME coverage or GNSS availability, States may consider the use of RNAV-2

navigation specification.

7.14 In this phase, the establishment of a backup system in case of GNSS failure or the development of contingency procedures will be necessary.

### **TMA Operations**

7.15 Noting the current development of Advanced RNP-1 navigation specification, in this phase, it is expected that the application of RNAV-1 or RNP-1 will be expanded in selected TMAs. The application of RNAV-1/RNP-1 will also depend on DME/DME infrastructure, GNSS availability and aircraft navigation capability. In TMAs of high air traffic complexity and movement (excluding airspaces), the use of RNAV-1 or RNP-1 equipments will be mandatory. In TMAs of less air traffic complexity, mixed operations will be permitted (equipped or non-equipped).

### **Instrument Approaches**

7.16 In this phase, the extended application of RNP APCH with Baro-VNAV or APV in most airports is expected. These applications may also serve as a back-up to precision approaches and provide vertical guided approaches for the runways without precision approach capability.

7.17 The extended application of RNP AR Approaches is expected for airports where there are operational benefits.

7.18 The introduction of application of landing capability using GNSS and its augmentations is expected to guarantee a smooth transition toward high-performance approach and landing capability.



**Summary table & Implementation targets**

<b>Medium Term (2013-2016)</b>		
<b>Airspace</b>	<b>Preferred Nav. Specification</b>	<b>Acceptable Nav. Specification</b>
Route – Oceanic	RNP-2	RNP-4, RNAV-10
Route – Remote continental	RNP-2	RNAV-2, RNP-4, RNAV-10
Route – Continental en-route	RNAV-1, RNP-2	RNAV-2, RNAV-5
TMA – Arrival	Expand RNAV-1 or RNP-1 application  Mandate RNAV-1 or RNP-1 approval for aircraft operating in higher air traffic density TMAs	
TMA – Departure	Expand RNAV-1 or RNP-1 application  Mandate RNAV-1 or RNP-1 approval for aircraft operating in higher air traffic density TMAs	
Approach	Expansion of RNP APCH (with Baro-VNAV) and APV  Expansion of RNP AR APCH where there are operational benefits  Introduction of landing capability using GNSS and its augmentations	
<b>Implementation Targets</b> <ul style="list-style-type: none"> <li>• RNP APCH with Baro-VNAV or APV in 100% of instrument runways by 2016</li> <li>• RNAV-1 or RNP-1 SID/STAR for 100% of international airports by 2016</li> <li>• RNAV-1 or RNP-1 SID/STAR for 70% of busy domestic airports where there are operational benefits</li> <li>• Implementation of additional RNAV/RNP routes</li> </ul>		

**Long Term Implementation Strategies (2016 and beyond)**

7.19 In this phase, GNSS is expected to be a primary navigation infrastructure for PBN implementation. States should work co-operatively on a multinational basis to implement GNSS in order to facilitate seamless and inter-operable systems and undertake coordinated R&D programs on GNSS implementation and operation.

7.20 Moreover, during this phase, States are encouraged to consider segregating traffic according to navigation capability and granting preferred routes to aircraft with better navigation performance.

7.21 With the expectation that precision approach capability using GNSS and its augmentation systems will become available, States are encouraged to explore the use of such capability where there are operational and financial benefits.

## **8. Transitional Strategies**

8.1 During transition to PBN, sufficient ground infrastructure for conventional navigation systems must remain available. Before existing ground infrastructure is considered for removal, users should be given reasonable transition time to allow them to equip appropriately to attain equivalent PBN-based navigation performance. States should approach removal of existing ground infrastructure with caution to ensure that safety is not compromised, such as by performance of safety assessment, consultation with users through regional air navigation planning process.

8.2 States should coordinate to ensure that harmonized separation standards and procedures are developed and introduced concurrently in all flight information regions along major traffic flows to allow for a seamless transition towards PBN.

8.3 States should cooperate on a multinational basis to implement PBN in order to facilitate seamless and inter-operable systems and undertake coordinated R&D programs on PBN implementation and operation.

8.4 States are encouraged to consider segregating traffic according to navigation capability and granting preferred routes to aircraft with better navigation performance, taking due consideration of the needs of State/Military aircraft.

8.5 States should encourage operators and other airspace users to equip with PBN-capable avionics. This can be achieved through early introductions of RNP approaches, preferably those with vertical guidance.

8.6 ICAO Asia-Pacific Regional Office should provide leadership supporting implementation and transition towards PBN.

## **9. Safety Assessment & Monitoring Requirements**

### **Need for a safety assessment**

9.1 To ensure that the introduction of PBN en-route applications within the Asia/Pacific Region is undertaken in a safe manner, in accordance with relevant ICAO provisions, implementation shall only take place following conduct of a safety assessment that has demonstrated that an acceptable level of safety will be met. This assessment may also need to demonstrate that levels of risk associated with specific PBN en-route implementations are acceptable. Additionally, ongoing periodic safety reviews shall be undertaken where required in order to establish that operations continue to meet the target levels of safety.

### **Undertaking a safety assessment**

9.2 To demonstrate that the system is safe it will be necessary that the implementing agent – a State or group of States - ensures that a safety assessment and, where required, ongoing monitoring of the PBN en-route implementation are undertaken. The implementing agent may have the capability to undertake such activities or may seek assistance from a competent Asia/Pacific regional Safety Monitoring Agency (SMA). The latter course of action is preferred as an SMA can establish the necessary monitoring and data collection activity in an effective manner if the implementing agent is in the area for which the SMA holds responsibility.

9.3 In undertaking a safety assessment to enable en-route implementation of PBN, a State authority or SMA shall:

- 1) Establish and maintain a database of PBN approvals;

- 2) Monitor aircraft horizontal-plane navigation performance and the occurrence of large navigation errors and report results appropriately to the RASMAG;
- 3) Conduct safety and readiness assessments and report results appropriately to the RASMAG;
- 4) Monitor operator compliance with State approval requirements after PBN implementation;
- 5) Initiate necessary remedial actions if PBN requirements are not met.

9.4 Detailed information relating to the roles and responsibilities of Asia/Pacific SMAs is contained in the *Asia/Pacific Safety Monitoring Agency Handbook*, which is available from the ICAO Asia/Pacific Regional Office.

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**Appendix A – CHANGES TO THE PBN REGIONAL PLAN**

Whenever a need is identified for a change to this document, the Request for Change (RFC) Form (see Section 1.6 below) should be completed and submitted to the ICAO Asia and Pacific Regional Office. The Regional Office will collate RFCs for consideration by the Performance Based Navigation Task Force (CNS/MET Sub-group of APANPIRG).

When an amendment has been agreed by a meeting of the Performance Based Navigation Task Force then a new version of the PBN Regional Plan will be prepared, with the changes marked by an “|” in the margin, and an endnote indicating the relevant RFC, so a reader can see the origin of the change. If the change is in a table cell, the outside edges of the table will be highlighted; e.g.:

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Final approval for publication of an amendment to the PBN Regional Plan will be the responsibility of APANPIRG.

**1.5 EDITING CONVENTIONS**

(Intentionally blank)

**1.6 PBN Regional Plan REQUEST FOR CHANGE FORM**

<b>RFC Nr:</b>	
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Please use this form when requesting a change to any part of this PBN Regional Plan. This form may be photocopied as required, emailed, faxed or e-mailed to ICAO Asia and Pacific Regional Office +66 (2) 537-8199 or [icao\\_apac@bangkok.icao.int](mailto:icao_apac@bangkok.icao.int)

<b>1. SUBJECT:</b>
<b>2. REASON FOR CHANGE:</b>
<b>3. DESCRIPTION OF PROPOSAL: [expand / attach additional pages if necessary]</b>





### Appendix B – IATA Traffic Forecast

By 2010 Asia will be the largest single market for aviation - IATA 27<sup>th</sup> Feb 2008. Globally predicted passenger traffic will rise by 4.9 per cent per year between 2007 and 2026, almost trebling in two decades as jet planes got bigger and more people flew on them. Meanwhile airfreight will rise by 5.8 per cent annually in the same period. The greatest demand will come from the Asia-Pacific region, where airlines will take delivery of 31 per cent of new planes in the next 20 years, compared with 24 per cent for Europe and 27 per cent for North America.

#### Passenger

Asia Pacific airlines saw a marginal drop in demand growth from 6.2 per cent in December 2007 to 5.7 per cent in January 2008. Currently, airlines in the region benefited from increased competitiveness due to the strong Euro and the booming economies of both India and China.

#### Cargo

Steady year-on-year airfreight growth of 4.5 per cent was recorded in January 2008. In the larger freight markets there is continued strength. Asia Pacific airlines saw demand increase 6.5 per cent, up from 6 per cent in December 2007, boosted by the booming economies in China and India.

For the period 2002-2020 aircraft movements are expected to increase at an annual growth rate of 5.4 per cent, to reach almost 294 thousand aircraft movements by the year 2020. Average annual growth rates of 6.5, 5.7 and 5.2 per cent are forecast for the periods 2005 - 2010, 2010-2015 and 2015 - 2020, respectively.

<u>TRANSPACIFIC PASSENGER FORECAST</u>			
<b>Average Annual Percentage Growth Rates</b>			
Low	Medium	High	
2005-2010	5.3	6.5	7.8
2010-2015	4.5	5.7	7.0
2015-2020	4.0	5.2	6.5
2002-2020	4.1	5.4	6.7

The Intra-Asia/Pacific passenger aircraft movements are expected to increase at an average annual growth rate of 4.6 per cent to the year 2020. The growth rates for the intermediate periods of 2005-2010, 2010-2015 and 2015-2020 are 5.0, 4.3 and 4.2 per cent, respectively.

<u>INTRA ASIA /PACIFIC AIRCRAFT MOVEMENT FORECAST</u>			
<b>Average Annual Percentage Growth Rates</b>			
Low	Medium	High	
2005-2010	3.6	5.0	5.5
2010-2015	3.1	4.3	5.2
2015-2020	3.1	4.2	5.2
2002-2020	3.3	4.6	5.6

#### New Aircraft Deliveries by Region

Record new aircraft orders were placed by the airline industry in 2005 – 2007. The large numbers of

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Appendix G to the Report on Agenda Item 3.4

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new orders represent strong confidence in the future prospects of the global airline industry. In its latest forecast of aviation growth, European aircraft maker Airbus said the world's fleet of large passenger jets (of more than 100 seats) would double in the next 20 years to nearly 33,000. The greatest demand will come from the Asia-Pacific region, where airlines will take delivery of 31 per cent of new planes in the next 20 years, compared with 24 per cent for Europe and 27 per cent for North America.

<b>New Aircraft Deliveries by Region</b>	<b>2006</b>	<b>2007</b>	<b>2008</b>	<b>2009</b>	<b>2010</b>	<b>2011</b>	<b>2012+</b>
	Existing						
<b>Africa</b>	<b>665</b>	<b>26</b>	<b>15</b>	<b>20</b>	<b>16</b>	<b>13</b>	<b>28</b>
<b>Asia Pacific</b>	<b>3,578</b>	<b>329</b>	<b>428</b>	<b>407</b>	<b>344</b>	<b>267</b>	<b>440</b>
<b>Europe</b>	<b>5,301</b>	<b>292</b>	<b>348</b>	<b>364</b>	<b>251</b>	<b>153</b>	<b>297</b>
<b>Latin America/Caribbean</b>	<b>1,031</b>	<b>93</b>	<b>91</b>	<b>45</b>	<b>66</b>	<b>43</b>	<b>65</b>
<b>Middle East</b>	<b>626</b>	<b>41</b>	<b>57</b>	<b>44</b>	<b>36</b>	<b>27</b>	<b>164</b>
<b>North America</b>	<b>6,987</b>	<b>240</b>	<b>293</b>	<b>309</b>	<b>222</b>	<b>163</b>	<b>412</b>
<b>Total</b>	<b>18,188</b>	<b>1,026</b>	<b>1,237</b>	<b>1,208</b>	<b>944</b>	<b>679</b>	<b>1,551</b>
<b>Increase in Global aircraft fleet (%)</b>	<b>4.2</b>	<b>4.9</b>	<b>4.6</b>	<b>4.9</b>	<b>3.4</b>	<b>2.4</b>	<b>2.4</b>



**Appendix C** - Reference documentation for developing operational and airworthiness approval

**TO BE DEVELOPED.**

**Appendix D** – Practical Example of tangible benefits

**TO BE DEVELOPED.**



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